Quasi-Preemption: Nervous Breakdown in Our Constitutional System

Geoffrey C. Hazard, Jr.*

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I. INTRODUCTION

A half century ago, in *The Relations Between State and Federal Law*, Professor Henry Hart of Harvard defined the public need for harmonizing the legal dictates issuing from the two levels of sovereignty established in the United States Constitution:

The law which governs daily living in the United States is a single system of law: it speaks in relation to any particular question with only one ultimately authoritative voice, however difficult it may be on occasion to discern in advance which of two or more conflicting voices really carries authority. In the long run and in the large, this must be so.

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People repeatedly subjected, like Pavlov’s dogs, to two or more inconsistent sets of directions, without means of resolving the inconsistencies, could not fail in the end to react as the dogs did. The society, collectively, would suffer a nervous breakdown.¹

II. PREEMPTION IN PRODUCTS LIABILITY LAW

Professor Sherman and other members of the American Bar Association Task Force on Federal Preemption have finished our report on that subject, “The Relation Between State and Federal Law,” as the situation exists today.² The immediate subject of our work is “tort law.” In particular, it is tort law dealing with products liability and the question of whether federally promulgated safety standards for products preempt—that is supersede and displace—state law concerning products that are determined by juries to be unsafe. Salient instances of this tort law problem have concerned motor vehicles and pharmaceuticals. A paradigm of the problem is this: When the Federal Food and Drug Administration promulgates a regulation specifying the label for a drug, may a jury under state law find that the label was misleadingly incomplete?³

The answer provided by the United States Supreme Court is, correctly in my view, that there is preemption in that case.⁴ But this does not quite end the inquiry. If a jury can find the federally prescribed warning incomplete, there is a further issue as to whether the drug also was unsafe in terms of the state’s products liability law. In practice evidently, juries will often find that the drug was unsafe. On that basis, state products liability law calls for substantial damages, sometimes including punitive damages.

In this eventuality, the drug manufacturer must either take the product off the market, take the heavy risk of further jury verdicts (including punitive damages), or seek more explicit preemption through legislative or administrative action at the federal level. Often the only practical alternative is to take the product off the market. That of course will deny the product to other users, most of whom probably could use it safely. An alternative rule therefore is that state law may not impose different warning requirements where through the medium

² See TASK FORCE ON FED. AGENCY PREEMPTION, ABA, DRAFT REPORT OF THE AMERICAN BAR ASSOCIATION TASK FORCE ON FEDERAL AGENCY PREEMPTION (2010).
⁴ Id. at 330.
of jury verdicts the practical effect is to render ineffective the manufacturer’s compliance with the federal warning requirement. But that alternative would leave the consumers who actually suffered injury without any remedy for the medical expenses and disability consequences that they have suffered.

Federal preemption, whether of drug labeling or product design (for example, automobile safety requirements), rarely addresses the issue of compensating “outlier” consumers who suffer injury from a product that federal law has classified as safe.

Thus the problem is indeed complicated. Put differently, does fulfillment of a duty of warning under federal law always and necessarily preclude a finding of liability under state tort law?

The ABA Task Force on Preemption properly limited its direct discussion of this problem of the relation between state and federal law to tort law. That was the charge given to the Task Force by the ABA.

III. THE LARGER PREEMPTION ISSUES

It is evident, however, that the problem of federal preemption—the relations between state and federal law,” in Professor Hart’s words—is much broader and more complex. The problem has been with us since the beginning of the Republic and now is pervasive through our legal system.

My purpose here is to sketch out the larger dimensions. I briefly recount the constitutional structure, the legal context as it has changed in the fifty years since Professor Hart published his essay, and suggest the dimensions of the problem through some illustrations. In this perspective, it is evident that our legal system has so far been incapable of dealing with “the relations between state and federal law” in a satisfactory way. As a consequence we are suffering from a condition that fairly can be called, again in Professor Hart’s words, “collectively a nervous breakdown.”

IV. THE CONSTITUTION

The relation between state and federal law is clearly defined in the Constitution, but only in brief and conclusory terms. On one hand, state law is recognized as the preexisting “base line” law, operative in each and every state unless displaced by federal law. Amendment X to the Constitution provides: “The powers not delegated to the United

States by the Constitution, nor prohibited by it to the States, are reserved to the States respectively, or to the people."

It is important to bear in mind the dimensions of the "powers not delegated to the United States." Those dimensions are the entire law of the land (except federal law), encompassed in state property law, tort law, contract law, family law, consumer law, and so on. The legal authority of the States is based on the concept of their sovereignty that existed just before the Constitution was adopted. The concept, and the political reality, was that the States had the complete sovereignty of independent nations. Accordingly, their statutory and common law—and the civil law of states such as Louisiana—were conceived and administered as comprehending all legal relationships arising in their respective territories.

The Constitution and its Supremacy Clause radically altered this comprehensive sovereignty of the States. The Supremacy Clause in Article VI of the Constitution provides:

> This Constitution, and the Laws of the United States which shall be made in Pursuance thereof; and all Treaties made, or which shall be made, under the Authority of the United States, shall be the supreme Law of the Land . . . any Thing in the Constitution or Laws of any state to the Contrary notwithstanding.

V. "NECESSARY AND PROPER" FEDERAL ACTIVITIES

"Federal preemption" is the legal effect of the Supremacy Clause on state law, law that is otherwise conserved by Amendment X. The model of federal preemption by a law made "in Pursuance" of the Constitution is that which was involved in *McCulloch v. Maryland*, decided in 1819. The situation there arose from the fact that Congress had created the Bank of the United States and the Bank had opened an office in Baltimore. The City of Baltimore undertook to impose a property tax on that branch building, apparently on the same basis of assessment as other real property. The Bank objected that the local authorities had no authority to do so. The Court's decision, by Chief Justice Marshall, is one of the foundational pronouncements of our constitutional Law.

*McCulloch* can be read as a case involving real estate property tax imposed by a state on a federal instrumentality. Some of those

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7. U.S. CONST. amend. X.
8. *Id.*
9. *Id.* art. VI.
concerned with the preemption problem may prefer to read it in that technically correct way. Doing so limits the holding to the immediate situation: real estate tax on a federal building. But *McCulloch*, as pronounced by the Court, had much wider scope. Most of Chief Justice Marshall’s opinion addressed the issue of whether Congress had authority to create such a bank in the first place.\textsuperscript{11} That issue was a central focus of contention between the Hamiltonian Federalists, favoring a powerful national government, and the Jeffersonian Democrats, favoring a minimalist national government.\textsuperscript{12}

Most of the Court’s decision is an expansive interpretation of the “Necessary and Proper” Clause, which is the formula prescribing the scope of congressional authority in the subject matters delegated in Article I, Section 8.\textsuperscript{13} A broad reading of “Necessary and Proper,” which is what the Court gave to that Clause, is a very broad reading of the “powers delegated to the United States,” the exception to the state sovereignty reserved in Amendment X. It was that broad reading of “Necessary and Proper” that was the basis of the conclusion concerning the Baltimore real property tax. The Court then held that, as a corollary, the state tax, having not been consented to by Congress, was invalid: “[T]he power to tax involves the power to destroy.”\textsuperscript{14}

To be sure, the decision can be considered as dealing only with such a state tax. But in subsequent constitutional history it has been the broad interpretation of “Necessary and Proper” that has been significant. The relationship between state and federal law involved in the case has come to be put in a category of “subject matter” preemption. The “subject matter” was the establishment and operation of the Bank; the Maryland tax was an impediment to operation of the Bank; the impediment was therefore impliedly prohibited by the Supremacy Clause.

VI. “COMMERCES AMONG THE SEVERAL STATES”

Of even greater preemptive scope was the holding in *Gibbons v. Ogden*, in 1824, again in an opinion by Chief Justice Marshall.\textsuperscript{15} That decision addressed whether New York could prohibit ferries traversing the Hudson River (and hence landing in New York), except for ferries

\begin{itemize}
  \item \textsuperscript{11} See id.
  \item \textsuperscript{12} See id. at 343-53; Sotirios A. Barber, Judicial Review and The Federalist, 55 U. CHI. L. REV. 836, 875 (1988).
  \item \textsuperscript{13} U.S. CONST. art. I, § 8, cl. 18.
  \item \textsuperscript{14} *McCulloch*, 17 U.S. at 431.
  \item \textsuperscript{15} 22 U.S. (9 Wheat.) 1 (1824).
\end{itemize}
that had been licensed by the State of New York. New York evidently did not freely license ferries based in other states, so that ferries based in New Jersey were excluded from landing, hence being put out of business if the New York licensing law was valid.

In opposition to the New York law were two contentions.\textsuperscript{16} The first was based on the fact that the Federal Government had a licensing law governing ferries. The New Jersey ferry company had complied with that requirement and hence argued (as we would say today) that federal regulation preempted the New York state law. But a broader contention was also made on behalf of the New Jersey company, or at any rate adopted by the Supreme Court. It was contended that the Commerce Clause of the Constitution itself preempted the New York regulation.

The Commerce Clause, in Article I, Section 8, authorizes Congress “[t]o regulate Commerce with foreign Nations, and among the several States.”\textsuperscript{17} The Court declared that the Commerce Clause precludes a state from regulating an enterprise that is engaged in commerce among the states.\textsuperscript{18}

In the course of its decision, the Court defined “commerce” as “intercourse,” a term of potentially very broad sweep.\textsuperscript{19} If given full scope in ensuing years, the decision in \textit{Gibbons} would have effectively prohibited all state regulations aimed at protecting local enterprise.

The subsequent decisions considering the negative effect of the Commerce Clause have been erratic. The irregular pattern reflects changing views of the appropriate relationship between federal legal policy and that of the States. In a famous decision in the late Victorian period, \textit{United States v. E.C. Knight Co.}, the Court held a consolidation of several sugar refiners into one company was outside the scope of federal regulation.\textsuperscript{20} It stated, “Commerce succeeds to manufacture, and is not a part of it.”\textsuperscript{21} The Court thereafter irregularly retreated from that narrow definition of federal authority, for example in \textit{Stafford v. Wallace}, sustaining federal regulation of stockyards in which animals were held in transition from ranch to market.\textsuperscript{22}

The modern endpoint in defining the scope of the commerce power has been the 1964 decision in \textit{Heart of Atlanta Motel, Inc. v.}}

\begin{flushleft}
\textsuperscript{16.} See id.
\textsuperscript{17.} U.S. CONST. art. I, § 8, cl. 3.
\textsuperscript{18.} \textit{Gibbons}, 22 U.S. at 181.
\textsuperscript{19.} See generally id.
\textsuperscript{20.} 156 U.S. 1 (1895).
\textsuperscript{21.} Id. at 12.
\textsuperscript{22.} 258 U.S. 495 (1922).
\end{flushleft}
That case and a companion, *Katzenbach v. McClung*,\(^2\) upheld federal prohibition of racial discrimination in a motel and a restaurant that catered, so they said, to wholly local clientele. But the rationale for federal regulation was that, although directed at local activities, those activities "might have a substantial and harmful effect" on interstate travel by Blacks.\(^2\) The federal regulation therefore displaced the underlying state law, under which the owner of a business establishment could exclude whomever he pleased.

**VII. EQUAL PROTECTION OF THE LAWS**

Another field of preemption has been through the Equal Protection Clause of Amendment XIV. The salient application of federal preemption under that provision is of course *Brown v. Board of Education*, which preempted contrary state regulation of pupil assignment in state-constituted public school.\(^2\) Needless to say, the principle of Equal Protection has far-reaching potential scope. It has correspondingly far-reaching federal preemptive effect.

**VIII. PREEMPTION TERMINOLOGY**

Judges, lawyers, and legal scholars seeking to get a handle on these issues have evolved a preemption terminology. It includes "subject matter preemption," "field preemption," "express preemption," "implied preemption," and still other terms. These efforts aim at a general structure in which to identify, debate, and resolve issues of "the relations between state and federal law," to use Professor Hart's term.\(^2\)

The terminology is useful up to a point, but it can also be deceptive. It has to be recognized that every preemption problem has its own specific characteristics, including such factors as the tradition of federal regulation in the specific subject matter (for example, interstate transportation compared with school curricula); the prevailing sensitivities about the subject (for example, bank interest rates versus gay marriage); the relative variation in underlying state legal policies (for example, gay marriage again), and so on.

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25. *Heart of Atlanta Motel, Inc.*, 379 U.S. at 258.
The categories are verbalizations to define other verbalizations, a very slippery undertaking. The old decision in *Gibbons*, for example, can be called “field preemption,” meaning preemption of the general subject of interstate commerce. On that basis, under the definition of commerce in *Heart of Atlanta*, the states could regulate very little in the way of economic activity. The judicial and legislative resolutions have never gone that far. But that is because a residue of constitutional common sense would not go so far. The point remains that constitutional doctrine as pronounced by the Court would permit the Federal Government, through Congress, to go that far if it wished.

It is not that no generalizations about preemption can usefully be made. The thoughtful discussion in the Task Force Report has useful generalizations. But their limits are evident even in the field of tort law, and specifically in the field of products liability law. In that field, the Supreme Court has thought there was a difference between a medical device and a pharmaceutical. Those of us below the bench are accordingly required to proceed on the basis that there is difference in federal preemption in that situation. But we are also required to try to determine how that difference may apply in other situations, until in the indefinite future the Supreme Court provides additional clues.

IX. THE PREEMPTION PROBLEM

This, then, is the constitutional structure in which the federal preemption issue is presented. The issue arises vividly and controversially in the field of products, as attested by the ABA Task Force report and the papers in this Symposium. The plain facts are:

- The Federal Government, under now-settled constitutional law, can regulate almost anything having to do with economics or which is definable in terms of Equal Protection. It can also regulate by providing federal grants to state and local governments for stated purposes and by imposing regulatory requirements as a condition of the grants. For example, through this mechanism it regulates the age at which young people may legally consume alcoholic beverages.

29. See 379 U.S. at 258.
The Federal Government is persistently engaged with proposals to regulate all kinds of things having to do not only with economics, more or less, but social and moral issues as well: not only product safety, but truth in securities transactions, truth in lending, discrimination in employment, environment, health care, but also school curricula, contraception, and gay marriage. Many of these proposals are adopted as legislation or as regulations implementing legislation. Every one of these adoptions presents an issue of federal preemption.

X. FEDERAL INATTENTION TO THE PREEMPTION ISSUE

In neither *McCulloch* nor *Gibbons* had Congress itself addressed the effect on state law: in *McCulloch* whether the enactment creating the Bank was to displace state property tax law,33 or in *Gibbons* whether the federal licensing of steamboats in “the coasting trade” was to displace state regulation of ferries.34 Absence of congressional attention, and consequent legislative silence, became the typical framework in which preemption issues were addressed through the first two centuries of the Republic. The issues therefore were for judicial resolution and the outcomes were not at all uniform. It was clear that federal law was preemptive if there was conflicting state law. But the state laws being challenged often were held not to conflict with the particular federal measure, nor to invade a preempted federal field. Artful legal technique often was required in framing whether there was such interference. Perhaps the most exotic was the “original packages” doctrine, improvised after *Gibbons* but before the Civil War: Articles wrapped in original packages when they crossed state lines in interstate commerce were different from commodities that were in bulk.35

However, in the earlier period, the challenged conflicts with federal law were only occasional. That the conflicts were only occasional resulted from the background political fact that federal law was itself only occasional. Prior to the Civil War, the fundamental division of domestic political sentiment concerning slavery essentially immobilized federal regulation of internal commerce. It was not until the end of the nineteenth century that Congress, in the Sherman

34. 22 U.S. (9 Wheat.) 1 (1824).
Antitrust Act and the Interstate Commerce Act, undertook major regulatory intervention in the economy.\footnote{36}

Those first steps toward regulatory activism at the national level were followed by further steps in the administrations of Theodore Roosevelt and Woodrow Wilson after the turn of the century. World War I brought more federal intervention, notably comprehensive regulation of the railroads.\footnote{37} It was only in the New Deal of Franklin Roosevelt’s administration that federal regulation approached a dominating position.

XI. LITIGATION AS RESOLUTION OF PREEMPTION

Nevertheless, the primary forum for resolution of preemption issues remained federal courts, particularly the Supreme Court as in \textit{McCulloch} and \textit{Gibbons}. The Supreme Court exercised authority essentially by default because Congress generally continued to ignore the consequences of its legislation on state law. Accordingly, the issue of federal preemption was a matter of federal judicial process, initially in the state courts and lower federal courts, then in the Supreme Court. The persistence of that process was the factual basis for Professor Hart and Professor Herbert Wechsler to entitle their magisterial casebook “\textit{The Federal Courts and the Federal System}.”\footnote{38} It was the federal courts that patrolled the relationship between state and federal law in our federal system.

The Hart and Wechsler book was published shortly after Hart’s 1954 law review article.\footnote{39} The Hart and Wechsler work has remained the scholarly and professional treatment of the preemption problem since then, and it will likely remain so for years to come. Those of us who went to law school then and in the years following have been taught that the preemption problem is one in which “the federal courts” resolve issues of “the federal system.” But if the federal courts, particularly the Supreme Court, effectively handled that problem five decades ago, they do not do so now.

39. See \textsc{Hart, supra note 1}.
XII. EXPANDED FEDERAL PREEMPTION

It was merest coincidence that Professor Hart’s article appeared in the same year, 1954, as the Supreme Court’s decision in Brown.\(^{40}\) In political and social terms, the decision in Brown was the most momentous judicial decision of the twentieth century, perhaps in all history. It immediately revolutionized the legal position of a substantial fraction of the American population (the Black population) and radically escalated the legal scrutiny to which local public and semi-public institutions were subject. It opened up massive federal intrusion on the political structure of state and local government—such as the Voting Rights Act of 1964 by Congress and the “one man, one vote” doctrine pronounced by the Supreme Court.\(^{41}\) It led the courts to impose pervasive transformation of local law enforcement procedures—federally imposed restrictions on “stop and search,” the “Miranda warning,” and so on.\(^{42}\) It led, in a parallel political and legal pathway, to national antidiscrimination legislation for protection of women, older people, and people with disabilities, as well as racial minorities.\(^{43}\) It led, eventually, to a Black man being elected President. Brown is also the preeminent federal preemption decision: The Equal Protection and Due Process provisions of the Constitution “trump” state law and local usage in all fields of public governance.\(^{44}\) The ascendency of federal regulation has led to burgeoning (although continuously disputed) “national” standards in all walks of life. Prior to 1954, Congress had regulated banking and publicly traded securities and certain other fiduciary responsibilities; labor-management relations in industrial workplaces; wage and hour terms in many other workplaces as well; agricultural production; residential mortgage finance; and, in Social Security legislation, provision for publicly funded pension. The federal hand was nevertheless relatively light. Since 1954, Congress has extended or revised federal intervention in all these fields and also addressed such subjects as private pensions, private health insurance, private places of public accommodation, private land use affecting the environment, auto safety, and divorce and marriage.

\(^{40}\) 347 U.S. 483 (1954).
\(^{44}\) See 347 U.S. 483 (1954).
Not only has the scope of federal intervention greatly expanded, but also the mechanisms of intervention have multiplied. Until a century ago, federal intervention took the form of either judicial decision, as in Gibbons, or legislative action, as in McCulloch—the Bank having been created by an act of Congress. Today, most of the specifications of federal intervention take the form of administrative regulations, rulings, and interpretations that expand and elaborate statutes that are typically framed in general language. To be sure, the validity and effect of these administrative dispositions depends on a statutory source provided by Congress. But the law of “administrative delegation” is now firmly established, and judicial review is generally supportive of plausible claims of administrative authority.

XIII. CONTINUED FEDERAL INATTENTION TO PREEMPTION

The result of expanded scope and variety of federal intervention is the continuous generation of issues of “the relations between state and federal law.” However, as our ABA Task Force Report shows, in the field of torts and particularly product liability, the institutions of the federal government have not done a respectable job in defining the effect of federal interventions on preexisting state law. As the Report notes, two recent salient Supreme Court decisions reach opposite results in medical treatment cases, one involving a drug, the other a medical device—both decisions by a 5-4 vote. In another corner of federal preemption jurisprudence, two other recent decisions, also by 5-4 vote, concluded that affirmative action in pupil assignment was permissible in professional education at public institutions, but not in primary and secondary education in other public institutions.

To note these variant outcomes is to suggest that they are at best anomalous. That is: Why, apart from technical legal argument, should medical devices be treated differently from drugs? Why should graduate schools be treated differently from primary schools—and what about community schools and correspondence schools and support for “home schooling”? This is not to suggest that any of the opinions, majority or minority, are incoherent, “unprincipled,” in disregard of relevant legal or social considerations, or erroneous. It is, however, to note that they were the climax of prolonged, expensive, confusing, and often embittering legal and political controversy. These

45. See TASK FORCE FED. AGENCY PREEMPTION, supra note 2.
controversies rage inside and outside the congressional chambers, inside the offices of the agencies and of the lobbyists, inside the offices of business management and the legal profession, and on Main Street.

Under the present system, only after long travail and substantial delay do specific preemption issues rise to the Supreme Court. Indeed, most of them never make it. Instead, they are more or less resolved in the lower courts or simply by people taking the legal risk of being wrong. The list of issues on which there is “conflict among the Circuits” and “conflict among state court decisions” is long. The Court now takes only eighty cases a year, most of them dealing with other subjects. Meanwhile, out in the cities and counties, citizens, businesses, and local officials wonder and worry about what the law “is” that they are expected to obey.

It is not hard to understand why the issues of federal preemption are so badly handled. Thoughtfully analyzing the projected effect of new federal law generally requires great social awareness, intellectual acumen, and technical legal knowledge. Such is required whatever the medium of federal pronouncement, whether constitutional interpretation by the Supreme Court, a statute of Congress, or statement by an agency.

Often, if not always, those fashioning a regulatory pronouncement are preoccupied with the immediate tasks in their legal “silos.” Apart from the inherent difficulty of the issues, the participants are busy contending with dissention among colleagues, sabotage by antagonists, and often the failure of allies. Addressing preemptive effect is one more uncertainty, often an impenetrable one, standing in the way of a regulatory measure’s approval or adoption.

The result, evident from the prevailing pattern, is: Let the courts work it out ad hoc. That resolution is often resorted to by the courts themselves, including the Supreme Court, in decisions that often appear merely ad hoc. Dealing more efficiently and effectively with problems of federal preemption would be expensive and would require much more attention and self-discipline on the part of Congress, the Executive, and the agencies.

XIV. THE TRANSACTION COSTS OF INATTENTION

The consequence of systemic inattention to the relation between state and federal law is the continuing burden of huge transaction costs. The immediate transaction cost is expensive legal assistance, the principal beneficiaries being of course the legal profession. A measure
of the cost in legal assistance is the cost incurred by lawyers in trying
to deal with the preemption issue. One simple if inexact and
incomplete metric is the training required for lawyers to keep abreast
of the issues. This is evidenced in the continuing legal education
(CLE) that conscientious lawyers must pursue.47 Attached as an
Appendix are two catalogues of CLE courses run by ALI-ABA, the
education consortium of the American Bar Association and the
American Law Institute. One catalogue is from the 1960s when things
were simpler, the other from last year. The difference is a snapshot of
the transformation of the preemption problem.

The further and immensely greater costs are incurred in the risk
assessments and maneuvers that businesses and governments and
ordinary citizens undertake to deal with uncertainty about liability,
criminal as well as civil. (“Defensive medicine” by doctors is merely
illusttrative.) A further cost is what can be fairly described as a
collective nervous breakdown, as Professor Hart suggested.48 A still
further cost is cynicism about government and disrespect for the rule
of law.

47. See Appendices.
48. See Hart, supra note 1, at 489.
## APPENDIX A

### ALI-ABA Courses in the 1960s

<table>
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<th>Coursebook title</th>
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<td>CLE with transcript, etc.; bound; 8802; produced &quot;CLE for Professional Competence &amp; Responsibility&quot;</td>
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<td>The Drafting of Pennsylvania Wills</td>
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<td>1960</td>
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<td>Lifetime and Testamentary Estate Planning</td>
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<td>Electronic Computers</td>
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<td>10/19-21/61</td>
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<td>Chi</td>
<td>Comp Legal &amp; Practical Problems Involved in the use of Electronic Data Processing in Business.</td>
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<td>&quot;The Computer in Court: Are Computer Records Legal Evidence?&quot;</td>
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<td>8/8/65</td>
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<td>8/14-20/66</td>
<td>1966</td>
<td>Mad</td>
<td>Govt, Anti</td>
<td>Advanced ALI-ABA Courses of Study for Young Lawyers on Government Regulation</td>
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<td>Course of Study on Federal Securities Law</td>
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<td>8/14-20/66</td>
<td>1966</td>
<td>Mad</td>
<td>Govt, Sec</td>
<td>Advanced ALI-ABA Courses of Study for Young Lawyers on Government Regulation; with supplements csp. Tulane U School of Law, Am. Society of Intl Law, &amp; Inter-American Bar Assn</td>
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<td>3/28/66</td>
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<td>same data in two books; William Schnader, Robert Braucher &amp; John O. Honnold, Jr.; bound; 9169</td>
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<td>Self Study Audio-Visual Program 1--UCC Workbook(s)</td>
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<td>Principles of Modern Real Estate Law and Practice</td>
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<td>11/30-12/1-2/67</td>
<td>1967</td>
<td>DC</td>
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<td>1968</td>
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<td>Sec, Lit</td>
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<td>DC</td>
<td>Govt</td>
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</table>
APPENDIX B

ALI-ABA Short Video Webcasts and Audio Webcasts/Telephone Seminars, 2008-09

BANKRUPTCY LAW
Strategies for Corporate Reorganization Planning in Today’s Credit Crunch

BUSINESS ORGANIZATIONS/CORPORATE LAW
ABA Section of Business Law: Live From the Fall 2008 Meeting
Choice of Business Entity—2009 Update: Choosing and Using Business Forms in Uncertain Times
Credit Default Swaps
Fundamentals of Derivatives
Lender Misconduct in Consumer Foreclosures and Bankruptcy
Limited Liability Entities: LLCs, LLPs, & Limited Partnerships in Tough Economic Times
The M & A Transaction Today: How To Negotiate, Structure, and Close the Deal
U.S. Supreme Court Review—A Review of the Last Term and a Look to the Future
Wealth Planning
What To Do When the Feds Come Calling: Advising Business Clients in the Financial Crisis

CIVIL LITIGATION/ADR
Allison Engine: Supreme Court Changes Standards in False Claims
Commercial Litigation: Presenting a Compelling Plaintiff’s Case and a Vigorous Defense
Digital Evidence: Generation, Admissibility and Weight Considerations
E-Discovery Strategies: Current Issues in Records Management, Litigation, Government Inquiries, and Ethics
Evidence, Procedure, and Trial Update: How You Can Win (or Lose) Your Case (Expert Witnesses, Sanctions, Spoliation, Daubert, and More)

False Claims Act & Proposed Amendments: An Update

The Financial Crisis: How Will These Disputes Be Resolved? National Experts Analyze Solution Mechanisms

Hydrogen Peroxide’s Aftermath: New Restrictive Directives in Class Certification (Is It Applicable in All Class Actions?)


Litigation Hold Letters

Negotiating Construction Disputes

COMMERCIAL LAW/UNIFORM COMMERCIAL CODE

Data, Security, and Privacy Issues Facing the U.S. Financial Services Industry

Fallout from the Credit and Liquidity Crisis: Derivatives, Subprimes, and Real Estate Financing Update

Financial Services Industry Today: Enforcement Issues

Financial Services Industry Today: The Feds Speak on the Credit Crisis

New Directions in Consumer Financial Services: Housing Finance, Collection Practices, and Ethics (Live from the ABA Section of Business Law Committee on Consumer Financial Services Winter 2009 Meeting)

CRIMINAL LAW

Challenging Confessions in Juvenile Delinquency Cases

Second Amendment Update: Gun Rights after Heller

EMPLOYEE BENEFITS

The 25% Exception under ERISA’s “Plan Assets” Rules: Withdrawals May Deposit Investment Funds Into ERISA Coverage

401k Hot Topics for Practitioners and Litigators
403(b) Plan Workshop: Implementing Recent Guidance
Cafeteria Plans: Complying with New IRS Regulations
Disability Claims in a Down Economy
EESA’s New Executive Pay Practices for Covered Financial Institutions
Employee Benefits Law and Practice Update: Fall 2008
Employee Benefits Law and Practice Update: Spring 2009
EPCRS Workshop: Preventing and Correcting Qualified Plan Problems
ERISA Benefits Litigation: From *Pilot Life* and *Firestone* to *Glenn*—Where Are We Now?
ERISA Fiduciary Responsibility Update for Plan Fiduciaries, Employers, and Administrators
ERISA Litigation in Distress Situations: What’s New and Important
ERISA Litigation: The Bailout Bill, the Financial Crisis, and the Impact on Your Practice
Executive Compensation Highlights: §409(A) Drafting, Design, Corrections, and Severance
Health Plans Update: COBRA, HIPAA, Cafeteria Plans, and Other Welfare Plan Issues
IRS’ Compliance Resolution System for Employee Retirement Plans (EPCRS)
*Kennedy v. DuPont Savings*: The Supreme Court Kills Two Conflicts With One Decision
Medicare Secondary Payer Mandatory Reporting Requirements
New COBRA, HIPAA, and Other Health Plan Provisions from ARRA 2009 (and Other Recent Legislation)
New Expanded Mental Health & Substance Abuse Parity: The Wellstone-Domenici Mental Health Parity & Addiction Equity Act
Plan Administrator Year-End “TO-DOs” for 401(k) and 403(b) Retirement Plans
Plan Administrator Year-End “TO-DOs” for Welfare Plans

Section 409A Compliance and Corrections: Ask the Experts—Part II

EMPLOYMENT AND LABOR LAW

ADA Amendments Act of 2008 and the Dramatic Expansion of the ADA

Arbitration of Employment Disputes: Emerging Issues

_Crawford_: The Supreme Court Redraws Retaliation Law

Electronic Discovery for Employment Lawyers

Emerging Issues of Employee Blogging: What Every Employer Should Know

Employment Law Update: Spring 2009

Genetic Information Nondiscrimination Act

Immigration: Worksite Enforcement and Employer Compliance Issues for 2009

_Ledbetter Reversed_: The New Fair Pay Act of 2009

Litigating Wage and Hour Class Actions

NEW Family and Medical Leave Act Regulations

NEW LAW: ADA Amendments Act of 2008

New Whistleblower Protection: The McCaskill Amendment to the Economic Stimulus Bill

Non-Compete Agreements

Non-Compete Agreements and Trade Secrets: Update

Reductions in Force Claims

“Regarded as” Disability Claims Under the ADA: Before and After the Amendments

U.S. Supreme Court Update: Employment Law

ENVIRONMENTAL LAW

Drafting Effective Contracts for Green Design, Green Building and Green Leasing: Is it Easy Being Green?
Environmental Due Diligence in the Era of Climate Change

Green Building Essentials for Lawyers: The Big Picture from a Real Estate Attorney and a Green Building Consultant

Negotiating and Using Environmental Insurance Policies and Avoiding Claim Pitfalls

Strategic Adaptation to Climate Change: Challenges and Opportunities for Business

**ESTATE PLANNING**

Advanced Estate and Tax Planning for Same-Sex Couples

Advanced Estate Planning Practice Update: Autumn 2008

Advanced Estate Planning Practice Update: Summer 2009

Advanced Estate Planning Practice Update: Winter 2009

Advising Investment Fraud Victims: Tax, Securities and Bankruptcy Issues

Asset Protection Trust Planning

Business Succession and Transition Planning for Closely Held or Family Owned Businesses

Charitable Remainder and Lead Trusts: Avoiding Bad Heir Days and Near-Death Tax Experiences

Estate and Distribution Planning with Qualified Plans and IRAs

Estate Planning for the Aging Boomer: Advising a Booming Client Population

Estate Planning in the Face of Litigation: Current Dilemmas and Malpractice Traps

Estate Planning in Turbulent Times: CYA! (Cover Your Assets)

Irrevocable Trusts Under Attack: The Domestic Relations Angle

Medicare Set Aside Trusts: Critical Issues Surrounding Personal Injury Awards

Post Mortem Planning for the Closely Held Business Owner

Ratner and Brody Rethink Insurance Uses in Estate Planning in the New Economy
Reproductive Technology’s Impact on Estate Planning: Children of the New Biology

Special Needs Trusts: What Every Personal Injury Attorney and Estate Planning Attorney Must Know

Steve Akers and Lou Harrison on Family Limited Partnerships after Mirowski et al.

INTELLECTUAL PROPERTY

ADR in IP Litigation

Copyright Fair Use: What’s New

A Cost-Effective Patent Litigation Defense: Indefiniteness

DMCA Section 1201: Ten Years of Copy Protection and Anti-Circumvention

E Marketing: IP Issues for Business Lawyers

The Google Book Search Settlement: Who Won?

Internet Security and Privacy Best Practices for Non-Specialists

ISP Immunity: Communications Decency Act S. 230

Managing Intellectual Property Liability and Risks in e-Marketing and Web Sites

Museum Intellectual Property: Myths, Updates, and International Highlights

Negotiating Business Issues in Copyright and Trademark License Agreements: Getting the Best Deal in a Changing Global Economy

Negotiating Technology Agreements

Protecting IP When the Licensor/Licensee Gets Into Trouble

Quanta v. LG: What You Should Know

Ten Years Under the Digital Millennium Copyright Act

Tiffany vs. eBay: Roadmap for Avoiding Liability or Short Detour for Trademark Owners?

Transformers Wanted? In re Bilski Cuts Back on Business Methods
Why Location Matters: Legal Issues of GPS, Navigation Systems and Other Location-Based Services

INTERNATIONAL PRACTICE
Doing Global Business in Times of Crisis: Issues for the New Administration and Clients
International Commercial Arbitration: What You Can Learn from the ALI Restatement Project (*cosponsored by the American Law Institute*)
Research Sources and Strategies in International Commercial Arbitration: An Insider’s Guide

PRACTICE MANAGEMENT
E-Due Diligence in Law Firm Hiring: A Look at the Issues (*cosponsored by NALP—The Association for Legal Career Professionals™*)
Lawyer Development Institute 2009 (*cosponsored by NALP—The Association for Legal Career Professionals™*)
Managing Law Firm Recruitment and Retention in a Downturn (*cosponsored by NALP—The Association for Legal Career Professionals™*)
Managing Law Firm Talent: Best Practices in Lawyer Career Development (*cosponsored by NALP—The Association for Legal Career Professionals™*)
Professional Development Institute 2008 (*cosponsored by NALP—The Association for Legal Career Professionals™*)
Strategic Outplacement for Associates and Partners (*cosponsored by NALP—The Association for Legal Career Professionals™*)

PROFESSIONAL RESPONSIBILITY/ETHICS
Attorney-Client Privilege and Work Product Protection 2008
“Broken Promises”: The Ethics of Attorney Advertising
Confidentiality and Attorney-Client Communications
Confidentiality and Ethics in a Wired World
Conflicts of Interest for the Business Lawyer

Ethical Considerations in the Public Sector: Counseling Government Clients Effectively

Ethics for the Business Lawyer

Ethics in E-Discovery

Ethics Issues in Estate Planning

The Ethics of Negotiation

Ethics Update 2008: Confidentiality and Conflicts of Interest

Ethics Update 2008: Control, Communication, and Competence

Good People, Bad Choices, and Life Lessons from the White House

Identifying Ethical, Bias, and Substance Abuse Issues in Your Practice

Internal Investigations in Law Firms: Ethics, Confidentiality, and Other Conflicts That May Arise

Lawyer Ethics and Risk Management in an Economic Downturn

Legal Fees and Billing: Ethics and Practice

Negotiation Ethics (cosponsored by the New York City Bar)

The Shrinking Boundaries of Attorney-Client Privilege

Spoliation of Digital Evidence: Ethics and Case Law Update—New Forensics and Emerging Standards for Discovery and Abuse

Top Ten Ethical Challenges Facing Estate Planners (cosponsored by the American College of Trust and Estate Counsel)

When Bankruptcy Comes Calling on Your Client: Five Common Ethical Mistakes

Will You Take My Case? The Ethics and Practice of Client Selection

PROFESSIONAL SKILLS

Communicating Across the Gender Gap: What Lawyers Need To Know (cosponsored by the New York City Bar)
Deposing Expert Witnesses: Ten Steps to Success

Effective Legal Negotiation and Settlement

Effective Legal Negotiation: Negotiator Styles and the Six Stages of Negotiation

Getting and Staying Organized: Effective Work Habits for Successful Lawyers

Legal Writing at the Micro Level: Making Paragraphs and Sentences Coherent and Forceful

Mauet’s Trial Evidence: Is It Reliable? *(cosponsored by the Professional Education Group, Inc.)*

Mauet’s Trial Evidence: Is It Right? *(cosponsored by the Professional Education Group, Inc.)*

May Your Law Practice Be With You: Practicing Anywhere from the Courthouse to the Closest Starbucks

Negotiation Strategies for Lawyers and Business Professionals *(cosponsored by the New York City Bar)*

Persuasive Legal Writing: A Workshop for Litigators

Problem Counsel, Problem Witnesses at Depositions

Real World Document Drafting®: Best Efforts, Good Faith, and Fair Dealing

Real World Document Drafting®: Defaults, Remedies, and Liability Limitation Provisions

Real World Document Drafting®: Indemnification Provisions in Contracts and Other Documents

Real World Document Drafting®: Starting Out Right

Tightwad Technology for Tough Times: 60+ Tips for Free and Low-Cost Resources for Your Law Practice

Time Management for Lawyers: Optimizing Your Work Day

Witness Deposition Tactics: The Games People Play

Writing for Effect: A Workshop for Lawyers

Writing To Be Read and Understood: A Workshop for Lawyers
REAL ESTATE/LAND USE
The ABCs of PPPs: How To Structure Public-Private Partnerships for Real Estate Development Projects
Commercial Real Estate Defaults and Workouts
Commercial Real Estate Financing, the Capital Markets, and the Liquidity Crunch
Commercial Real Estate Sales and Acquisitions: Today’s Credit Market, Due Diligence, Representations, and Warranties
Condos & Planned Communities: Bulk Sale of Units, Homes, and Lots in Today’s Shifting Economy
Handling Commercial Real Estate Defaults: Workouts
Housing & Economic Recovery Act & Emergency Economic Stabilization Act: For Real Estate Lawyers Who Counsel Lenders, Borrowers, and Builders
Managing Hospitality Assets through the Downturn: Operations, Management, and Financing Workshop
Reworking Opinion Letters for the Mortgage Loan and Real Estate Transaction Comeback: What’s Necessary vs. What’s Dangerous

SCIENCE AND TECHNOLOGY
New Digital Media: Recent Law and Emerging Trends

SECURITIES LAW
Current Issues in Drafting Risk Factors for 2009 SEC Filings
Hedge Fund Update: Current Developments and Their Impact
Moving from GAAP to IFRS (International Financial Reporting Standards)
New SEC Regulation FD (Fair Disclosure) Guidance: Will Blogs Suffice?
SEC and State Enforcement and Litigation: Issues Arising from the Recent Market Upheaval on Wall Street
SEC Executive Compensation Disclosure in 2009: EESA, the CD&A, and More
SEC Regulation and Practice: Advice from the Experts
TAXATION

Federal Tax Treatment of Debt Workouts

Generation Skipping Transfer Tax: Common Mistakes and How to Prevent or Fix Them

New Proposed Tax Preparer Regulations and Enhanced Circular 230

New Tax Return Preparer Standards: Final Regulations

TORTS/PROFESSIONAL MALPRACTICE

*Exxon*: Supreme Court Rules on Preemption and Punitive Damages
ALI-ABA Courses of Study, 2008-09

BANKRUPTCY LAW

Fundamentals of Bankruptcy Law
May 7-9, 2009, Chicago, Illinois, and live webcast
*Planning Chairs:* K. John Shaffer, Los Angeles, Calif., Bruce A. Markell, Las Vegas, Nev.

Chapter 11 Business Reorganizations
May 14-16, 2009, Boston, Massachusetts, and live webcast
*Planning Chairs:* Kenneth N. Klee, Los Angeles, Calif., Sally S. Neely, Los Angeles, Calif.

BUSINESS ORGANIZATIONS/CORPORATE LAW

Corporate Mergers and Acquisitions
October 23-24, 2008, New York, New York, and live webcast
*Planning Chairs:* Franci J. Blassberg, New York, N.Y., Elliott V. Stein, New York, N.Y.

Tax Exempt Charitable Organizations
November 13-14, 2008, Washington, D.C., and live webcast
Cosponsored by the ABA Section of Taxation

Legal Issues in Museum Administration
April 1-3, 2009, Boston, Massachusetts, and live webcast
Cosponsored by The Smithsonian Institution with the cooperation of the American Association of Museums
*Program Chairs:* Lauryn H. Guttenplan, Washington, D.C., Maureen Whalen, Los Angeles, Calif.

COMMERCIAL LAW/UNIFORM COMMERCIAL CODE

The Subprime Mortgage Crisis: From A to Z *(new course of study)*
September 18-19, 2008, Washington, D.C., and live webcast
Commercial Lending and Banking Law – 2009
January 29-31, 2009, Scottsdale, Arizona, and live webcast
Planning Chair: Patrick A. Guida, Providence, R.I.

Product Distribution and Marketing
March 18-20, 2009, Charleston, South Carolina, and live webcast
Planning Chair: Andre R. Jaglom, New York, N.Y.

EMPLOYEE BENEFITS

Retirement, Deferred Compensation, and Welfare Plans of Tax-Exempt and Governmental Employers
September 4-6, 2008, Washington, D.C., and live webcast

Pension, Profit-Sharing, Welfare, and Other Compensation Plans
October 2-4, 2008, Washington, D.C., and live webcast
March 18-20, 2009, San Francisco, California, and live webcast

Fundamentals of Employee Benefits Law
March 5-7, 2009, Walt Disney World (Orlando), Florida, and live webcast
Planning Chair: Pamela D. Perdue, St. Louis, Mo.

Executive Compensation: Strategy, Design, and Implementation
June 18-19, 2009, New York, New York, and live webcast

EMPLOYMENT AND LABOR LAW

Current Developments in Employment Law
July 24-26, 2008, Santa Fe, New Mexico, and live webcast
Airline and Railroad Labor and Employment Law: A Comprehensive Analysis
October 30-November 1, 2008, Washington, D.C., and live webcast
Planning Chair: Joseph Z. Fleming, Miami, Fla.

Advanced Employment Law and Litigation
December 4-6, 2008, Washington, D.C., and live webcast

Litigating Employment Discrimination and Employment-Related Claims and Defenses in Federal and State Courts
March 5-7, 2009, Scottsdale, Arizona, and live webcast

ENTERTAINMENT, ARTS, AND SPORTS LAW
Entertainment, Arts, and Sports Law
January 15-17, 2009, Los Angeles, California
Planning Chairs: Joseph Z. Fleming, Miami, Fla., Pamela R. Lester, Hopewell, N.J.

ENVIRONMENTAL LAW
The Impact of Environmental Law on Real Estate and Business Transactions: Brownfields and Beyond
October 2-3, 2008, Boston, Massachusetts, and live webcast
Planning Chair: David B. Farer, Westfield, N.J.

Clean Air: Law, Policy, and Practice
December 3-5, 2008, Washington, D.C., and live webcast
Cosponsored by the Environmental Law Institute
Environmental Law
February 4-6, 2009, Washington, D.C. (Bethesda, Md.) and live webcast
Cosponsored by the Environmental Law Institute and The Smithsonian Institution

Global Warming: Climate Change and the Law
April 2-3, 2009, Washington, D.C., and live webcast
Cosponsored by the Environmental Law Institute

Clean Water Act: Law and Regulation
April 23-24, 2009, Washington, D.C., and live webcast
Cosponsored by the ABA Section of Environment, Energy, and Resources and the Environmental Law Institute

Criminal Enforcement of Environmental Laws
May 14, 2009, Washington, D.C., and live webcast

Environmental Litigation
June 24-27, 2009, University of Colorado School of Law, Boulder, and live webcast
Sponsored with the cooperation of the University of Colorado School of Law
Planning Chair: Daniel Riesel, New York, N.Y.
ESTATE PLANNING

Estate Planning for the Family Business Owner
July 9-11, 2008, Boston, Massachusetts, and live webcast
Cosponsored by the ABA Section of Real Property, Trust and
Estate Law and the ABA Section of Taxation
Planning Chairs: Steve R. Akers, Dallas, Tex., Mark B. Edwards,
Charlotte, N.C., Myron E. Sildon, Kansas City, Mo.

Representing Estate and Trust Beneficiaries and Fiduciaries
July 17-18, 2008, San Francisco, California, and live webcast
Planning Chairs: Donald P. DiCarlo, Jr., Villanova, Pa., Steven
M. Fast, Hartford, Conn., Robert Whitman, Hartford, Conn.

International Trust and Estate Planning
July 31-August 1, 2008, Santa Fe, New Mexico, and live webcast
Planning Chairs: Virginia F. Coleman, Boston, Mass., Michael G.
Pfeifer, Washington, D.C.

Basic Estate and Gift Taxation and Planning
Planning Chair: Stanley L. Ruby, Cincinnati, Ohio

Sophisticated Estate Planning Techniques
September 4-5, 2008, Boston, Massachusetts, and live webcast
Cosponsored by Massachusetts Continuing Legal Education, Inc.
Planning Chairs: Edward J. Beckwith, Washington, D.C.,
Virginia F. Coleman, Boston, Mass.

Planning Techniques for Large Estates
November 17-21, 2008, San Francisco, California, and live
webcast
April 20-24, 2009, New York, New York
Planning Chairs: Malcolm A. Moore, Seattle, Wash., Mervin M.

Advanced Estate Planning Techniques
February 19-21, 2009, Maui, Hawaii, and live webcast
Planning Chairs: Lawrence P. Katzenstein, St. Louis, Mo., Jeffrey
N. Pennell, Atlanta, Ga.
Fundamentals of Estate Planning
June 11-13, 2009, Boston, Massachusetts, and live webcast
Planning Chair: Mary Ann Mancini, Washington, D.C.

Estate Planning in Depth
June 14-19, 2009, University of Wisconsin Law School, Madison
Cosponsored by Continuing Legal Education for Wisconsin (CLEW)
Planning Chairs: M. Read Moore, Chicago, Ill., Nancy G. Henderson, Rancho Santa Fe, Calif.

INSURANCE LAW
November 13-14, 2008, Washington, D.C., and live webcast

PROFESSIONAL SKILLS
Real World Document Drafting®: Form, Style, and Substance
September 12, 2008, New York, New York
Cosponsored by the New York City Bar

Trials of the Century
September 24, 2008, New York, New York
Cosponsored by the New York City Bar
April 23, 2009, Philadelphia, Pennsylvania
Instructor: Todd Winegar, Salt Lake City, Utah

Writing To Persuade
October 2, 2008, New York, New York
Cosponsored by the New York City Bar
December 9, 2008, Philadelphia, Pennsylvania
Instructor: Timothy P. Terrell, Atlanta, Ga.

Effective Depositions: Techniques and Strategies
October 29, 2008, Philadelphia, Pennsylvania
Communicating Across the Gender Gap: What Lawyers Need To Know
December 9, 2008, New York, New York, and live webcast
Cosponsored by the New York City Bar
**Instructors:** Steven D. Stark, Boston, Mass., Sarah Wald, Boston, Mass.

Public Speaking for Lawyers
January 30, 2009, New York, New York
Cosponsored by the New York City Bar
**Instructors:** Whitney North Seymour, Jr., New York, N.Y., Conrad Teitell, Stamford, Conn.

So Little Time, So Much Paper: Organization and Time Management for Lawyers
February 2, 2009, New York, New York
Cosponsored by the New York City Bar
**Instructor:** Margaret Spencer Dixon, Kensington, Md.

Advanced Writing and Editing for Lawyers
April 16, 2009, Philadelphia, Pennsylvania
**Instructor:** Timothy P. Terrell, Atlanta, Ga.

Gain the Edge®! Negotiation Strategies for Lawyers and Business Professionals
April 17, 2009, New York, New York, and live webcast
Cosponsored by the New York City Bar
**Instructor:** Martin Latz, Phoenix, Ariz.

REAL ESTATE/LAND USE

Modern Real Estate Transactions
July 30-August 2, 2008, Boston, Massachusetts, and live webcast
**Planning Chairs:** John D. Hastie, Norman, Okla., Mark A. Senn, Denver, Colo., Philip D. Weller, Dallas, Tex.

Land Use Institute: Planning, Regulation, Litigation, Eminent Domain, and Compensation
August 13-16, 2008, Boston, Massachusetts, and live webcast
Cosponsored by the Center for Urban and Environmental Solutions, Florida Atlantic University
Planning Chair: Frank Schnidman, Ft. Lauderdale, Fla. Planning Co-Chair: Gideon Kanner, Los Angeles, Calif.

Creative Tax Planning for Real Estate Transactions

Resort Real Estate and Clubs: Formation, Documentation, and Operation
November 13-14, 2008, Savannah, Georgia, and live webcast
Planning Chair: Jo Anne P. Stubblefield, Atlanta, Ga.

Condemnation 101: Fundamentals of Condemnation Law and Land Valuation
January 8-10, 2009, Miami Beach, Florida

Eminent Domain and Land Valuation Litigation
January 8-10, 2009, Miami Beach, Florida, and live webcast

Commercial Real Estate Financing: Strategies for Changing Markets and Uncertain Times
January 15-17, 2009, Coral Gables, Florida, and live webcast

Drafting (and Re-Drafting) Documents for Condominiums and Planned Communities in Troubled Times: Practice and Principles
February 26-28, 2009, San Antonio, Texas, and live webcast
Planning Chair: Carl H. Lisman, Burlington, Vt.

Commercial Real Estate Defaults, Workouts, and Reorganizations
March 5-7, 2009, Walt Disney World (Orlando), Florida, and live webcast
Planning Chair: John D. Hastie, Norman, Okla.
Commercial Real Estate Leases: Selected Issues in Drafting and Negotiating in a Distressed and Troubled Market

SECURITIES LAW

Investment Company Regulation and Compliance
July 16-18, 2008, Boston, Massachusetts, and live webcast

Postgraduate Course in Federal Securities Law
July 24-25, 2008, San Francisco, California, and live webcast

FINRA/SEC Compliance and Enforcement: The Changing Broker-Dealer and Adviser Regulatory Landscape—Staying Ahead of the Curve
September 18-19, 2008, Fordham University School of Law, New York, New York, and live webcast
Sponsored with the cooperation of the Philip D. Reed Chair Lecture Series and the Corporate Law Center, Fordham University School of Law
Planning Chair: Clifford E. Kirsch, New York, N.Y.

Investment Adviser Regulation
January 15-16, 2009, Fordham University School of Law, New York, New York, and live webcast
Sponsored with the cooperation of the Philip D. Reed Chair Lecture Series and the Corporate Law Center, Fordham University School of Law
Planning Chair: Clifford E. Kirsch, New York, N.Y.

Regulation D Offerings and Private Placements
March 12-14, 2009, Scottsdale, Arizona, and live webcast
Cosponsored by the Securities Law Committee of the Federal Bar Association
Fundamentals of Securities Law
April 30-May 1, 2009, Chicago, Illinois, and live webcast

Broker-Dealer Regulation
June 18-19, 2009, Fordham University School of Law, New York, New York, and live webcast
Cosponsored by the Securities Law Committee of the Federal Bar Association with the cooperation of the Philip D. Reed Chair Lecture Series and the Corporate Law Center, Fordham University School of Law

TAXATION

Consolidated Tax Return Regulations
September 25-26, 2008, Washington, D.C., and live webcast
Cosponsored by the ABA Section of Taxation
**Planning Chair:** Mark J. Silverman, Washington, D.C.

Corporate Taxation
April 2-3, 2009, Washington, D.C., and live webcast
Cosponsored by the ABA Section of Taxation
**Planning Chairs:** Julie A. Divola, San Francisco, Calif., Rose L. Williams, New York, N.Y., Philip B. Wright, St. Louis, Mo.

How To Handle a Tax Controversy at the IRS and in Court: From Administrative Audit Through Litigation
May 28-29, 2009, San Antonio, Texas, and live webcast
Sponsored with the cooperation of the ABA Section of Taxation
**Planning Chair:** Steven C. Salch, Galveston, Tex. **Planning Vice-Chairs:** Karen L. Hawkins, Washington, D.C., Gerald A. Kafka, Washington, D.C.

TORTS/PROFESSIONAL MALPRACTICE

Asbestos Litigation: Where Is It Going? When Will It End?
December 4-5, 2008, San Antonio, Texas, and live webcast
**Planning Chairs:** John D. Aldock, Washington, D.C., Steven Kazan, Oakland, Calif.